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1057 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

PATENT APPLICATION

Inventor(s): Charles E. Taylor, Shek Fai Lau

SC/Serial No.: Unknown

Filed: Herewith

Title: ELECTRO-KINETIC AIR TRANSPORTER-  
CONDITIONER WITH PIN-RING  
ELECTRODE CONFIGURATION

Customer No. 23910

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 C.F.R. §1.10

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Date of Mailing: December 13, 2001

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*Matthew A. Mahling*

(Signature)

Matthew A. Mahling

Signature Date: December 13, 2001

CONTINUATION APPLICATION TRANSMITTAL LETTER  
UNDER 37 C.F.R. §1.53(b)(1)

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing as a ✓ continuation    divisional application under the continuing application procedure of 37 C.F.R. §1.53(b)(1) is a patent application identified as follows:

Title: ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER  
WITH PIN-RING ELECTRODE CONFIGURATION

Inventor(s): Charles E. Taylor  
Shek Fai Lau

No. of Pages in Specification: 32, No. of Claims: 118.



JC996 U.S. PTO  
10/023460  
12/13/01

10023460 12/13/01

No. of Sheets of Drawings: 12; Formal: ☒ or Informal: ☐.

**Identification of Prior Complete Application**

SC/Serial No.: 09/730,499

Art Unit:

Filed: December 5, 2000

Examiner:

Title: ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER

Inventor(s): Chuck E. Taylor, Shek Fai Lau

**Delete the Following Inventor(s) for This Application: (none)**

**Declaration**

☒

A copy of the Declaration (signed by all inventors) from the Prior Complete Application is submitted herewith.

**Amendments**

\_\_\_\_\_

Please CANCEL in this application original claims \_\_\_\_\_ of the prior complete application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.) However, if this instruction results in cancellation of all original claims, please instead retain the lowest numbered original claim.

☒

Please AMEND the specification by inserting before the first line of the application: "This application claims priority from provisional application Serial No. 60/306,479, filed July 18, 2001. This application is a continuation of U.S. Patent Application No. 09/730,499, filed December 5, 2000, which is a continuation of U.S. Patent Application No. 09/186,471, filed November 5, 1998, now U.S. Patent No. 6,176,977."

☒

A preliminary amendment is enclosed. Please enter the preliminary amendment before calculating the application filing fee. (Claims added must be consecutively numbered beginning with next number after highest number in prior complete application.)

**Petition for Extension of Time to Respond**

\_\_\_\_\_

No extension of time is required for the prior complete application.

\_\_\_\_\_

Pursuant to 37 C.F.R. §1.136(a), enclosed is a petition for an extension of time for the prior complete application, up to and including today, for responding to the Office action together with the fee set by 37 C.F.R. §1.17.

**Power of Attorney**

- ☒ A power of attorney appears in the prior complete application.
- ☐ A new power of attorney is submitted herewith.

**Assignment**

- ☒ The prior application is assigned of record to:  
Sharper Image Corporation
- ☐ An assignment of the invention to: \_\_\_\_\_ is submitted herewith, together with an ASSIGNMENT RECORDATION FORM COVER SHEET and recordal fee of \$40.00.

**Claim of Priority**

- ☐ Priority of foreign application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_, in \_\_\_\_\_ is claimed under 35 U.S.C. §1.119.
- ☐ The certified copy was filed in prior U.S. application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

**Small Entity Statement**

- ☐ Statement(s) claiming small entity status is (are) submitted herewith.
- ☐ Statement(s) claiming small entity status was (were) filed in the parent application Serial No. \_\_\_\_\_, on \_\_\_\_\_ and its benefit under 37 C.F.R. §1.28(a) is hereby claimed.

**Also Enclosed Are:**

- ☐ An Information Disclosure Statement under 37 C.F.R. §1.56.

## Filing Fee

The continuation/divisional patent application filing fee is calculated as shown below:

No. Filed	No. Extra	Rate Small Entity/ Other Than Small Entity		
Basic Fee		\$370.00 \$740.00	=	\$0.00
Total Claims <u>118</u> - 20	= <u>98</u> *	\$ 9.00 \$ 18.00	=	\$0.00
Independent Claims <u>6</u> - 3	= <u>3</u> *	\$ 42.00 \$ 84.00	=	\$0.00
First Presentation of Multiple Dependent Claim(s)		\$140.00 \$280.00	=	\$
Total PATENT APPLICATION FILING FEE:				<u>\$0.00</u>

\* If the difference is less than zero, enter "0".

\*\* Count claims after any amendment.

## Method of Payment of Fees

The total fee associated with this communication is calculated as follows:

Patent application filing fee	\$
Net fee for extension of time (See attached petition)	\$
Assignment recordal fee (See attached cover sheet)	\$
TOTAL FEE DUE:	\$

— No check is enclosed.

— The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A **duplicate** copy of this CONTINUATION OR DIVISIONAL APPLICATION TRANSMITTAL LETTER UNDER 37 C.F.R. §1.53(b)(1) form is enclosed.

**Correspondence Address**

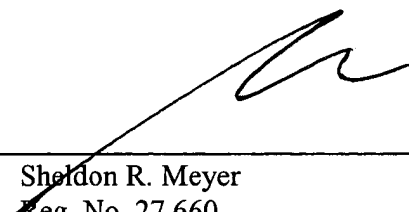
All correspondence should be addressed as follows:

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San Francisco, California 94111-4156  
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Direct all telephone calls to the undersigned attorney at (415) 362-3800.

Respectfully submitted,

Date: December 13, 2001

By:   
Sheldon R. Meyer  
Reg. No. 27,660

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